IT AND TRADEMARK OFFICE UNITED

09-13-04

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Offices Address: COMMISSIONER4FOR PATENTS P.O. Dox 1450 Alexandris, Vigniss 22313-1450 www.uspito.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/622,224

07/18/2003

Steven J. Iseberg

10841US07

23446 MCANDREWS HELD & MALLOY, LTD **500 WEST MADISON STREET**

SUITE 3400 CHICAGO, IL 60661

CONFIRMATION NO. 4645 FORMALITIES LETTER

OC000000013482083

Date Mailed: 08/09/2004

NOTICE OF INCOMPLETE REPLY (REISSUE)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 05/18/2004 to the Notice to File Missing Parts (Notice) mailed 03/18/2004 and it has been entered into the reissue application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The required items noted below SHOULD be filed along with any items required above. The filing date of this reissue application will be the date of receipt of the items required above.

- Late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 was not received.
- Consent of assignee is missing. 37 CFR 1.172 requires that a reissue oath/declaration be accompanied by the written consent of all assignees.
- The reissue specification has not been provided in the form of a copy of the printed patent. Each page should be in double-column format and on only one side of each sheet of paper, as is required by 37 CFR 1.173(a)(1).
- Assignee's statement under 37 CFR 3.73(b) establishing ownership of the patent is missing. 37 CFR 1.172 requires that all assignees consenting to the reissue application establish their ownership interest in the patent by filing in the reissue application a statement in accordance with 37 CFR 3.73(b).

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$65 for a Small Entity

• \$65 Late oath or declaration Surcharge.

09/14/2004 FAREKONEN 00000112 10622224

01 FC:2651

65.00 OP

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

*Note - This application is being processed as a leissue.

P.O. Box 1450 Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

PTO/SB/21 (08-00)

Approved for use through 10/31/2002 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction act of 1995, no persona are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/622.224 TRANSMITTAL **FORM** Filing Date July 18, 2003 First Named Inventor Steven J. Iseberg (to be used for all correspondence after initial filing) Group Art Unit 2643 **Examiner Name** unknown Attorney Docket Number 10841US07 Total Number of Pages in This Submission **ENCLOSURES** (check all that apply) Fee Transmittal Form **Assignment Papers** After Allowance Communication (for an Application) to Group Fee Attached Drawing(s) (sheets) Appeal Communication to Board Amendment/Reply of Appeals and Interferences Licensing-related Papers After Final Appeal Communication to Group Petition (Appeal Notice, Brief, Reply Brief) Affidavits/declaration(s) Petition to Convert to a **Proprietary Information** Extension of Time Request **Provisional Application** Status Letter (4-month) Power of Attorney, Revocation Other Enclosure(s) (please Change of Correspondence Express Abandonment Request identify below): Address Information Disclosure Response to Notice of Incomplete Terminal Disclaimer Statement Reply (Reissue) Request for Refund PTO 1449/08A with Copy of Notice of Incomplete Reply (Reissue) references __ CD Number of CD(s) ____ Copy of Patent No. 5,887,070 Certified Copy of Priority Copy of assignee's statement, Document(s) consent and offer to surrender Response to Missing Parts/ Return-receipt post card Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 Remarks SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Individual Name McAndrews Held & Malloy, Ltd. Registration No. (Attorney/Agent) Timothy L. Harney 38,174 Name (Print/type) Signature Date: September 10, 2004

EXPRESS MAIL DEPOSIT

"Express Mail" mailing label number: EV 303832831 US

Date of Deposit September 10, 2004.



Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE no persona are required to respond to a collection of information unless it displays a valid OMB control number.

Under the Paperwork Requision acroir 1995, no persona are required to respond to a collection of information unless it displays a valid OMB control number Complete if Known

FEE TRANSMITTAL for FY 2003

Patent Fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)805.00

Complete if Known					
Application Number	10/622,224				
Filing Date	July 18, 2003	1			
First Named Inventor	Steven J. Iseberg et al.				
Examiner Name	Unassigned				
Group Art Unit	2643				
Attorney Docket No.	10841US07				

METHOD OF PAYMENT				FEE CALCULATION (continued)					
De Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:		3. ADDITIONAL FEES							
			Large		Smal				
Deposit		٦		Entity		Entity			
Account	13-0017		Fee	Fee	Fee	Fee		Fee	
Number		_	Code	(\$)	Code	(\$)	Fee Description	Paid	
Deposit Account	McAndrews Held & Malloy		1051 1052	130 50	2051 2052	65 25	Surcharge - late filing fee or oath Surcharge - late provisional filing fee or cover	65.00	
Name		J	1032	30	2052	25	sheet		
	ge Any Additional Fee Required Under 37 CFR 1.	16 and 1.17							
	icant claims small entity status. 37 CFR 1.27		1053	130	1053	130	Non-English specification		
	· · · · · · · · · · · · · · · · · · ·		1812	2.520	1812	2.520	For filing a request for ex parte reexamination		
∠.⊠ Payme	ent Enclosed: k		1804	•	1804	920°	Requesting publication of SIR prior to Examiner		
2 01.00	C D Orodit Card Elinoticy Crack El Carlot						action		
	FEE CALCULATION		1805	1,840	1805	1,840*	Requesting publication of SIR after Examiner		
1. BASIC FI	ING FEE						action		
	ty Small Entity		1251	110	2251	55	Extension for reply within first month		
Fee Fee			1252	410	2252	205	Extension for reply within second month		
Code (\$)	• •	Fee Paid	1253	930	2253	465	Extension for reply within third month		
1001 75	0 2001 375 Utility filing Fee		1254	1,450	2254	725	Extension for reply within fourth month	740.00	
1002 33	0 2002 165 Design filing Fee		1255	1,970	2255	985	Extension for reply within fifth month		
1003 52	0 2003 260 Plant filing fee		1401	320	2401	160	Notice of Appeal		
1004 75	0 2004 375 Reissue filing fee		1402	320	2402	160	Filing a brief in support of an appeal	<u></u> i	
1005 16	0 2005 80 Provisional filing fee		1403	280	2403	140	Request for oral hearing		
			1451	1,510		1510	Petition to institute a public use proceeding	<u> </u>	
	SUBTOTAL	(4) (2)	1452 1453	110 1,300	2452	55 650	Petition to revive - unavoidable Petition to revive - unintentional		
		(1) (3)	1501	1,300		650	Utility issue fee (or reissue)		
2. EXTRA CL			1502	470	2502	235	Design issue fee		
	Fee from Extra Claims below	Fee Paid	1503	610	2503	315	Plant issue fee		
			1460	130	1460	130	Petitions to the Commissioner		
Total Claims	- 20** = 0 x ==	0.00	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		
Independent		5.00	1806	180	1806	180	Submission of Information Disclosure Stmt		
Ctaims Multiple Depe		0.00 0.00	8021	40	8021	40	Recording each patent assignment per property (times number of properties)		
Large Entit	ty Small Entity	_	1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))		
Fee Fee Code (\$)	Fee Fee Fee Description		1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))		
1202 18	* *		1801	750	2801	375	Request for Continued Examination (RCE)		
1201 84		3	1802	900	1802	900	Request for expedited examination of a design		
1203 28	•		Othor	faa /aa	naifu)		application	├ ──┤ │	
1204 84	2204 42 **Reissue independent claims		Ottiel	fee (spe					
1205 18		1							
	and over original patent								
		L (2) (\$)0.00						j	
**or number previously paid, if greater; For Reissues, see above *Reduced by				ced by	Basic Fili	ng Fee P	Paid SUBTOTAL (3) (3)805.00	

SUBMITTED BY Complete (if applicable)										
Name (Print/Type)	Timothy L. Harney	Registration No. (Attorney or Agent) 38,174	Telephone	312-775-8000						
Signature		4	Date	September 10, 2004						

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



In the Application of:

ISEBERG ET AL.

Serial No.: 10/622,224

Filing Date: July 18, 2003

For: HIGH FIDELITY INSERT

EARPHONES AND METHODS OF

MAKING SAME

Examiner: Not Assigned

Group Art Unit No.: 2643

Confirmation No.: 4645

EXPRESS MAIL

Label No. EV 303832831 US

Date of Deposit: September 10, 2004

RESPONSE TO NOTICE OF INCOMPLETE REPLY (REISSUE)

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Attention: Initial Patent Examining Division

Dear Sirs:

In response to Notice of Incomplete Reply (Reissue) dated August 9, 2004, Applicants enclose the following:

- (1) the late filing fee or oath or declaration surcharge as set forth in 37 C.F.R. 1.16(e) of \$65.00;
- (2) a copy of the Assignee's Statement under 37 C.F.R. 3.73(b); Assent to Reissue; and Offer to Surrender the Original Letters Patent, previously filed August 7, 2000 in the parent application;
- (3) a copy of the printed patent (U.S. Patent No. 5,887,070, issued March 23, 1999) previously surrendered in the parent application;
- (4) a copy of the Notice of Incomplete Reply (Reissue); and
- (5) Applicants' petition for a 4-month extension of time with the appropriate fee.

REMARKS

Applicants hereby submit copies of the Assignee's Statement under 37 C.F.R. 3.73(b); Assent to Reissue; and Offer to Surrender the Original Letters Patent, and a copy of the printed patent (U.S. Patent No. 5,887,070) both of which were previously submitted in the parent application (U.S. Serial No. 09/489,441, now Reissue Patent No. 38,351 issued December 16, 2003). In addition, Applicants submit the late filing fee or oath or declaration surcharge as requested in the Notice of Incomplete Reply (Reissue) dated August 9, 2004.

In view of the foregoing, it is respectfully submitted that all items necessary to avoid any processing delays as set forth in the Notice of Incomplete Reply (Reissue) dated August 9, 2004 and the Notice to File Missing Parts of Reissue Application dated March 18, 2004 have been timely submitted. Should there be any other outstanding items, the Examiner is kindly invited to contact the undersigned at the telephone number listed below.

Please grant any needed extension of time and charge any required fees not paid herewith or put any overpayment to the deposit account of McAndrews, Held & Malloy, Ltd., Account No. 13-0017.

Dated: September 10, 2004

Respectfully submitted,

Timothy L. Marney Registration No. 38,174

McAndrews, Held & Malloy, Ltd. 500 W. Madison 34th Floor Chicago, IL 60661 Telephone (312) 775-8000 Facsimile (312) 775-8100